

Dkt. 0655/63676

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Richard Hans HARVEY

Serial No. : 09/721,806

Group Art Unit: 2176

Date Filed : November 24, 2000

Examiner:

For : A METHOD AND APPARATUS FOR OPERATING A DATABASE

1185 Avenue of the Americas  
New York, N.Y. 10036

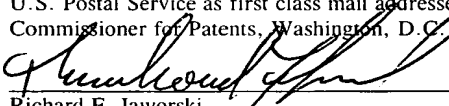
Assistant Commissioner for Patents  
**Box Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF**  
**APPLICATION-FILING DATE GRANTED**  
**AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION**

Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated March 29, 2001, applicant hereby transmits (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney, and (iii) check for \$130 surcharge for late filing of the Declaration.

I hereby certify that this paper is being deposited this date with the  
U.S. Postal Service as first class mail addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231.

  
Richard F. Jaworski  
Reg. No. 33,515

June 19, 2001  
Date

Applicant also transmits for recordation (iv) an Assignment of this invention to Computer Associates Think, Inc., (v) Recordation Form Cover Sheet (Form PTO-1595), and (vi) check for the \$40 recordation fee.

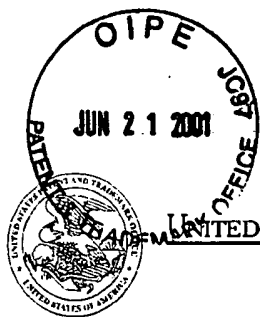
The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an additional extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Richard F. Jaworski', written over a horizontal line.

RICHARD F. JAWORSKI, Reg. No. 33,515  
Attorney for Applicants  
Cooper & Dunham LLP  
Tel.: (212) 278-0400



#4

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,806	11/24/2000	Richard Hans Harvey	0655/63676

CONFIRMATION NO. 3613

## FORMALITIES LETTER



\*OC000000005915788\*

Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

Date Mailed: 03/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

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H-T

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Dkt. 0655/63676

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

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Assistant Commissioner for Patents  
Washington, D.C. 20231

CLAIM FOR PRIORITY UNDER 35 U.S.C. §119

Applicant hereby claims priority under 35 U.S.C. §119. Applicant hereby transmits a certified copy of the following priority application:

Application No.

Filed in Australia

PQ 4284

November 26, 1999

Respectfully submitted,

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Richard F. Jaworski

Date

Reg. No. 33,515

June 19, 2001

RICHARD F. JAWORSKI  
Registration No. 33,515  
Attorney for Applicant  
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